

12

# EUROPEAN PATENT APPLICATION

21 Application number: 89116110.1

91 Int. Cl.5: A61M 15/00

22 Date of filing: 31.08.89

30 Priority: 08.09.88 US 241641  
08.09.88 US 242083

43 Date of publication of application:  
14.03.90 Bulletin 90/11

84 Designated Contracting States:  
AT BE CH DE ES FR GB GR IT LI LU NL SE

71 Applicant: R. J. REYNOLDS TOBACCO  
COMPANY  
401 North Main Street  
Winston-Salem North Carolina 27102(US)

72 Inventor: Brooks, Johnny Lee  
817 South Hawthorne Road  
Winston-Salem North Carolina 27103(US)  
Inventor: Roberts, Donald Leroy  
631 Chester Road  
Winston-Salem North Carolina 27104(US)  
Inventor: Simmons, Jerry Scott  
140 Prestwick Lane  
Rural Hall North Carolina 27045(US)  
Inventor: Morrison, Carl Cristopher  
3175 Kittering Lane  
Winston-Salem North Carolina 27105(US)

7A Representative: Hoeger, Stellrecht & Partner  
Uhlandstrasse 14 c  
D-7000 Stuttgart 1(DE)

54 Aerosol delivery articles utilizing electrical energy.

57 Aerosol delivery articles employ an electrical resistance heating element and an electrical power source to provide an aerosol. The articles advantageously comprise a disposable portion and a reusable controller. The disposable portion normally includes a drug or flavor substance and an air permeable resistance heating element having a surface area greater than 1 m<sup>2</sup>/g, which usually carries an aerosol forming substance. The reusable controller normally includes a puff-actuated current actuation means, a time-based current regulating means to control the temperature of the heating element, and a battery power supply.

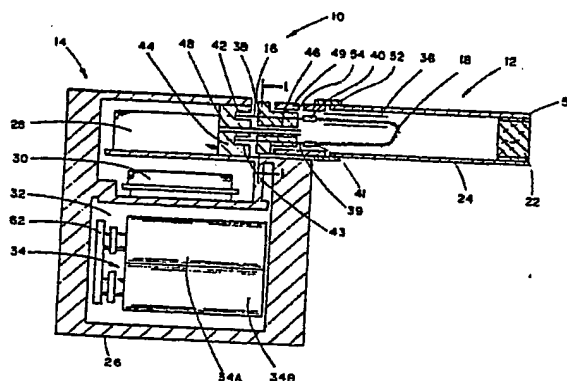


FIG. 1

EP 0 358 114 A2

## AEROSOL DELIVERY ARTICLES UTILIZING ELECTRICAL ENERGY

BACKGROUND OF THE INVENTION

The present invention relates to aerosol delivery articles which employ an electrical resistance heating element and an electrical power source to produce an aerosol. Articles of the present invention can deliver a flavored aerosol or a drug in aerosol form.

Over the years, there have been proposed numerous smoking products, flavor generators and medicinal inhalers which utilize electrical energy to vaporize or heat a volatile material for delivery to the mouth of the user.

U.S. Patent No. 2,057,353 to Whittemore, Jr. proposed a vaporizing unit. In particular, a wick reportedly carried liquid medicament by capillary action to a point where the liquid was vaporized by an electrical resistance heating element.

U.S. Patent No. 2,104,266 to McCormick proposed an article having a pipe bowl or cigarette holder which included a resistance coil (i) wound on an insulating and heat resisting material, and (ii) contained in an insulated chamber. Prior to use of the article, the pipe bowl was filled with tobacco or the holder was fit with a cigarette. Current then was passed through the resistance coil. Heat produced by the resistance coil was transmitted to the tobacco in the bowl or holder, resulting in the volatilization of various ingredients from the tobacco. A thermostatic switch was employed to maintain a predetermined temperature range to which the tobacco was heated.

U.S. Patent No. 3,200,819 to Gilbert proposed a smokeless, non-tobacco cigarette having a flavor cartridge, such as a porous substrate impregnated with mentholated water. The article included a battery for powering a tube or bulb which was illuminated before assembly. The bulb was placed in a tubular liner, which was in turn located within a tube of plastic having the size, color and form of a cigarette. In use, the illuminated bulb reportedly heated the flavored air drawn through passages formed between the bulb and the tubular liner. As such, warm, moist, flavored air was delivered to the user.

French Patent Publication No. 2,128,256 to Ribot et al proposed an article for delivering denicotinized smoke. The proposed article included a sealed ampule which contained pressurized denicotinized smoke. An electric resistor was immersed in the smoke. In use, the terminals of the resistor were pushed into contact with a microbattery causing the resistor to generate heat and heat the smoke within the ampule. Draw by the user reportedly caused warm smoke to exit a valve near

the mouthend of the article.

Japanese Patent Publication 8231/73 to Takeda proposed a cigar-shaped inhaler which included a battery powered Nichrome wire to heat air that, in turn, evaporated an essence from an essence container. The Nichrome wire was energized by either a manually-actuated or a draw actuated "on-off" switch.

West German Patent Application No. 2,653,133 to Kovacs proposed a smoking simulator having an internal battery which could accelerate or control the vaporization or emission of aromatic substances for delivery to the user. In supplemental West German Patent Application No. 2,704,218, Kovacs described the use of a vacuum or draw-actuated switch to switch "on" the battery operated heating coil.

A draw actuated, pressure transducer switch was described in U.S. Patent No. 4,246,913 to Ogden et al, as part of a smoke aversion therapy article which delivered a small electrical shock to a smoker whenever the smoker drew on a cigarette.

U.S. Patent No. 4,141,369 to Burruss proposed an article similar to the previously discussed McCormick articles. Burruss proposed a container which was electrically heated to a temperature sufficient to volatilize desired components from smoking material inserted therein. Heated air passing through the container during draw reportedly carried volatilized materials to the mouth of the user.

U.S. Patent No. 4,303,083 to Burruss proposed a pipe having an electrical resistance heating element, a manually operated "on-off" power switch, and an opening above the resistance element for the addition of volatile compound. During use, the volatile compound was applied, using a squeeze tube or eye dropper, to a heated surface within the pipe, apparently on a puff-by-puff basis. The volatile compounds reportedly were vaporized, mixed with air drawn into the pipe, and inhaled by the user.

PCT Publication No. WO 86/02528 to Nilsson et al proposed an article similar to that described by McCormick. Nilsson et al proposed an article for releasing volatiles from a tobacco material which had been treated with an aqueous solution of sodium carbonate. The article resembled a cigarette holder and reportedly included a battery operated heating coil to heat an untipped cigarette inserted therein. A switch was activated to supply current to the heating coil. A temperature sensor reportedly disconnected and reconnected the battery in order to maintain the temperature generated by the device in a narrow temperature range. Air drawn through the device reportedly was subjected to

elevated temperatures below the combustion temperature of tobacco and reportedly liberated tobacco flavors from the treated tobacco contained therein.

U.S. Patent No. 4,735,217 to Gerth et al proposed a "cigarette-shaped" medicament dosing article having a pellet of vaporizable medicament and a Nichrome resistance heating element connected in series with a battery power source and a draw actuated switch. In their only working example, the Nichrome heating element allegedly achieved a temperature in the range of 190° F to 220° F (90° C to 105° C) within a two second puff, which apparently was sufficient to volatilize menthol from a menthol pellet. At Column 8, lines 43-63, Gerth et al. went on to speculate that their article could be used to vaporize nicotine from a nicotine-containing pellet and that they believed it feasible to coat the heating element with a nicotine-containing compound in lieu of using a vaporizable pellet.

However, it is believed that it would not be possible to coat a Nichrome heating element, of the type described by Gerth et al, with enough vaporizable liquid material to deliver sufficient volatile material to the user, over a 6 to 10 puff life. It also is believed that the article of Gerth et al would not be able to provide enough electrical energy to (i) vaporize volatile material until near the end of a typical two second puff, or (ii) provide a high enough temperature (e.g., 150° C to 350° C) to vaporize many volatile materials within a two second puff, including many desirable aerosol forming substances and many volatile flavor components. In addition, even with only a single AA battery, the article described by Gerth et al. is more than 3 times the diameter and many times heavier than a typical cigarette and is provided with a relatively imprecise draw actuated control switch and with no means of regulating the current or heat during the puff.

Despite many years of interest and effort, none of the foregoing articles employing electrical energy has ever realized any significant commercial success, and it is believed that none has ever been widely marketed. Moreover, it is believed that none of the foregoing electrical energy articles is capable of effectively providing acceptable drug or flavor delivery to the user, especially a 6 to 10 puff, or greater, product life.

Thus, it would be desirable to provide an aerosol delivery article which utilizes electrical energy and which is capable of delivering acceptable quantities of aerosol over at least 6 to 10 puffs.

### SUMMARY OF THE INVENTION

The present invention relates to drug and flavor

delivery articles which employ an electrical resistance heating element and an electrical power source to provide an aerosol. Preferred articles can produce aerosol almost immediately upon commencement of a puff, as well as provide the controlled production of aerosol throughout the puff and over a 6 to 10 puff product life.

In one aspect of the invention, the aerosol delivery article includes a disposable portion (eg., a cartridge) which utilizes an air permeable high surface area electrical resistance heating element that normally carries aerosol forming substances prior to use. This resistance heating element typically is a porous material having a surface area greater than 1 m<sup>2</sup>/g, as determined using the Brunauer, Emmett and Teller (BET) method described in J. Am. Chem. Soc., Vol. 60, p. 309 (1968); and Adsorption Surface Area and Porosity, Gregg et al, Academic Press, NY (1967). Preferably, the heating element is a fibrous carbon material, most preferably having a surface area greater than about 1,000 m<sup>2</sup>/g. (In contrast, the surface area of the Nichrome metal resistance element of Gerth et al is believed to be about 0.01 m<sup>2</sup>/g.) Preferably, such porous heating elements are impregnated with (i) liquid aerosol forming substances, such as glycerin, and (ii) a flavor such as a coffee extract or menthol, or a drug constituent. Such heating elements are particularly advantageous in that they are capable of holding and efficiently releasing relatively large quantities of liquid aerosol forming substances as well as drug or flavor substances. For example, such heating elements can carry enough aerosol forming substances to provide aerosol for 6 to 10 puffs, or more.

Another important aspect of the invention relates to the various configurations of the disposable portions described herein. For example, in certain preferred embodiments, the disposable portion advantageously is provided with an electrical connection means at one end thereof. This electrical connection means includes means for connecting the resistance element to a battery or other external power source, and preferably includes an air passageway used in conjunction with the preferred puff actuated current actuation means. In other preferred embodiments, the disposable portion is adapted for connection to the external power source via connectors located on the reusable controller. In certain preferred embodiments, the resistance heating element is located centrally in the disposable portion and/or does not occupy a significant portion of the cross-sectional area of the disposable portion. In other preferred embodiments, the resistance heating element is located adjacent an end of the disposable portion, and/or at least substantially fills the cross-sectional area of the disposable portion or the air passageway there-

through.

A reusable controller can be used with the disposable portions of the invention. This reusable controller normally includes a current actuation means, a separate current regulating means to control the temperature of the heating element, and a battery power supply. Alternatively, the electrical power supply can be provided separately from the current actuation and current regulating means; e.g., as a separate battery pack or as normal household current stepped down by an appropriate transformer. The reusable controller can be in the form of a pipe, a reusable mouthpiece, a hand-held unit or other portable form into which the disposable portion can be inserted. The use of such a reusable article with the disposable portions of the invention is particularly advantageous in that it permits the use of (i) relatively large power sources, capable of generating 10 to 40 watts of power or more, and (ii) accurate and sophisticated current actuation and current regulating means that normally would be too costly to incorporate into a single use, disposable article.

Preferably, the current actuation means is puff actuated, so that current flows through the resistance heating element to produce aerosol only during draw by the user.

To use the articles of the invention, the user simply inserts the disposable portion containing the drug or flavor substance into the controller, to electrically connect the heating element to a circuit including the current actuation and current regulating means and to the battery. When the user draws on the mouthend of the article, the preferred current actuation and current regulating means permit unrestricted or uninterrupted flow current through the resistance heating element to generate heat rapidly. This heating volatilizes the aerosol forming substances and/or drug or flavors, which in turn form an aerosol and pass through the article and into the mouth of the user. At the same time, the current regulating means (i) regulates current flow through the heating element to control heating of the resistance element and the temperature experienced thereby, and (ii) prevents overheating and degradation of the aerosol former. When the user stops drawing on the article, the current actuation means prevents further current flow through the heating element and disables the current regulating means. This process continues, puff after puff, until the user decides to stop drawing on the article (i.e., flavor generation is depleted or the dose of the drug is complete). At that point, the reusable portion can be removed and discarded, and a new one inserted in its place.

In another aspect of the invention, the current actuation means, the current regulating means, and/or the electrical power source may be incor-

porated into the portion of the article containing the electrical resistance heating element, so that the reusable controller may be reduced in size or even eliminated.

Preferred aerosol delivery articles of the invention are capable of delivering an average of at least 0.5 mg, more preferably at least 0.8 mg, of aerosol and/or drug or flavor substance per puff, measured as wet total particulate matter (WTPM), under conditions of 2 second, 35 ml puffs, taken once every 60 seconds. Preferred articles of the invention can deliver such material, preferably in visible form, for a plurality of puffs, preferably at least about 6 puffs, more preferably at least about 10 puffs, under such conditions.

As used herein, and only for the purposes of this application, "aerosol" is defined to include vapors, gases, particles, and the like, both visible and invisible, generated by action of heat from the resistance heating element upon aerosol forming substances and/or drug or flavor substances located on the resistance element or elsewhere in the article.

The articles of the present invention are described in greater detail in the accompanying drawings and in the detailed description of the invention which follows.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a longitudinal, partial sectional view of an article of this invention;

Figure 1A is a sectional view of a portion of the embodiment shown in Figure 1 taken along lines 1-1;

Figure 2 is a longitudinal, partial sectional view of an article of this invention;

Figure 3 is a perspective of an article of this invention including an exposed inner view of the reusable portion thereof;

Figures 4, 5, and 6 are longitudinal, partial sectional views of preferred articles of this invention showing the disposable portions and cut-away views of the controllers;

Figures 7 and 8 are longitudinal sectional views of additional articles of the invention;

Figures 9 and 10 are representative schematic diagrams of time-based control circuits and related wiring for preferred controllers useful in this invention; and

Figure 11 depicts the temperature, average current voltage profiles experienced by a resistance heating element during heating controlled by the preferred current regulating means.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring to Figure 1, aerosol delivery article 10 includes a disposable cartridge 12 and a reusable, hand-held controller 14. The disposable cartridge 12 includes electrical connection plug 16, resistance heating element 18 carrying an aerosol forming substance, mouth end filter 22, and a resilient overwrap 24. The preferred controller 14 includes a case 26, a puff actuated current actuation mechanism 28 having the form of a pressure sensitive switch, a time-based current control circuit 30, and a chamber 32 into which battery power supply 34 (shown as batteries 34A and 34B) is inserted.

The resistance heating element 18 employed in cartridge 12 preferably is a fibrous material having a high surface area and an adsorbant, porous, wettable character, in order to carry a suitable amount of aerosol forming substance for effective aerosol formation. Suitable heating elements preferably have surface areas above about 50 m<sup>2</sup>/g, more preferably above about 250 m<sup>2</sup>/g, and most preferably above about 1,000 m<sup>2</sup>/g.

Preferred heating elements normally have low mass, low density, and moderate resistivity, and are thermally stable at the temperatures experienced during use. Such heating elements heat and cool rapidly, and thus provide for the efficient use of energy. Rapid heating of the element also provides almost immediate volatilization of the aerosol forming substance. Rapid cooling prevents substantial volatilization (and hence waste) of the aerosol forming substance during periods when aerosol formation is not desired. Such heating elements also permit relatively precise control of the temperature range experienced by the aerosol forming substance, especially when the preferred time based current control means described herein is employed.

Preferred resistance heating elements include carbon filament yarns available from American Kynol, Inc., New York, NY, as Catalog Nos. CFY-0204-1, CFY-0204-2, and CFY-0204-3. Such yarns reportedly have surface areas of about 1,500 m<sup>2</sup>/g and resistivities of about 10 to about 30 milliohm-cm. See, Kirk-Othmer: *Encycl. Chem. Tech.*, Vol. 16, 3rd Ed., pp 135-136 (1981). Representative lengths of such yarns range from about 15 to about 50 mm. Other preferred heating elements include carbon felts and activated carbon felts available from American Kynol, Inc., as Catalog Nos. CN-157(HC), CN-210(HC), ACN-211-10, ACN-210-10, and ACN-157-10. Such felts typically have surface areas of about 1,500 m<sup>2</sup>/g and resistivities of about 5 to about 30 milliohm-cm. Such felts can be used in the form of circular discs having diameters of about 4 to 8 mm, as described in greater detail hereinafter with reference to Figures 4-6. Other suitable heating elements include porous metal

wires or films; carbon yarns, cloths, fibers, discs or strips; graphite cylinders, fabrics or paints; microporous high temperature polymers having moderate resistivities; porous substrates in intimate contact with resistance heating components; and the like.

Preferably, the heating element 18 is impregnated with or otherwise carries one or more aerosol forming substances in order that the aerosol forming substances are in a heat exchange relationship with the electrical heating element. The aerosol forming substances used in this invention are capable of forming aerosol during periods when the heating element generates heat. Such substances preferably are composed of carbon, hydrogen and oxygen, although other material such as water can be employed. The aerosol forming substances can have a solid, semi-solid, or liquid form. Examples of suitable aerosol forming substances include water; ethanol; polyhydric alcohols such as glycerin, propylene glycol and triethylene glycol; aliphatic esters of mono-, di-, or poly-carboxylic acids such as methyl stearate, dimethyl dodecandioate, dimethyl tetradecandioate; a flavor such as menthol, chocolate, coffee, fruit or artificial fruit; and the like, as well as mixtures thereof; as well as a drug or a mixture of a drug with any of the foregoing aerosol forming substances.

While the loading of the aerosol forming substance can vary from substance to substance and from heating element to heating element, the amount of liquid aerosol forming substance used typically will be greater than about 15 mg and preferably ranges from about 25 mg to about 50 mg.

The flavor substances useful herein are those which are capable of being delivered to the user in aerosol form. Such flavors include menthol, peppermint, spearmint, cinnamon, vanilla, licorice, ginger, mouth fresheners, chocolate, coffee and coffee flavors, liqueurs, root beer, spice, nut, pepper, pizza, bacon, sausage, cereal, popcorn, cookie, strawberry, citrus, raspberry, cherry, tooty fruity, and the like.

Drugs useful herein are those which can be administered in an aerosol form directly into the respiratory system of the user. As used herein, the term "drug" includes articles and substances intended for use in the diagnosis, cure, mitigation, treatment or prevention of disease; and other substances and articles referred to in 21 USC 321(g)-(1). Typical of such drugs are those which are used in the treatment of asthma, emphysema, bronchitis, epilepsy, shock, hypertension, cardiac arrhythmia, sinus congestion, allergies, convulsions, anxiety, schizophrenia, and the like. Examples of suitable drugs include ephedrine, metaproterenol, terbutaline, dopamine, phenytoin, diazepam, pro-

pranolol, diphenhydramine, and the like.

Such drug and flavor substances can be used as aerosol forming substances, and can be carried by the resistance heating element. Such substances also can be placed between the resistance heating element and the mouthend of the cartridge, such as in mouthend filter 22 or in a separate flavor chamber or cartridge located between the resistance heating element and the filter. In such instances, the substance can either form an aerosol or be eluted by the aerosol forming material. As with the aerosol forming substance, the loading of the flavor substance can vary from substance to substance, and depends upon the taste desired; and the loading of each drug can vary depending upon the particular drug and the particular dose required.

Although drugs can be employed to form an aerosol with or without the other aforementioned aerosol forming substances (e.g., glycerin), it is desirable to employ such aerosol forming substances with drugs in order that an identifiable, visible aerosol is provided. As such, the user readily can identify when a dose of the drug is complete.

A heat resistant, electrically insulative strip, tube or spacer 36, preferably is provided in order to maintain the heating element in place and to prevent the heating element from contacting itself. The insulative spacer 36 can be a cellulosic sheet treated with fire retardant, an aluminum foil having a surface coating of aluminum oxide, an insulative ceramic material, a heat resistant plastic material such as a polyimide, or the like.

The electrical connection plug 16 preferably is manufactured from a resilient, electrically insulative material such as a thermoplastic material. The plug 16 includes two electrical connector pins or prongs 38, 39 connected to the ends of heating element 18 via connectors 40, 41. The pins 38, 39 engage with electrical terminals 42, 43 located in electrical connection receptacle 44 of the controller 14. Plug 16 also includes a passageway 46 through which tube 48 from pressure sensing switch 28 extends. As shown in Figure 1A, pins 38, 39 and passageway 46 are offset with respect to the longitudinal axis of plug 16.

A portion of the length of the electrical connection plug 16 preferably is circumscribed by a collar 49 having the form of a thermoplastic tube, which preferably is friction fit around a portion of the length of the plug. The collar 49 in turn is secured to the remaining portion of the cartridge via overwrap 24 using tipping paper 52 or other appropriate means such as adhesive, a friction fit, or the like. Preferably, the collar 49 includes one or more peripheral air inlet openings 54 which provide a flow of ambient air through the cartridge during

draw. Alternatively, the air inlet can be positioned through the extreme inlet end of the cartridge or elsewhere through the periphery of the cartridge, such that drawn ambient air passing through the cartridge to the mouth of the user passes the resistance element.

The mouthend filter 22 is provided for aesthetic purposes. It preferably is a low efficiency filter made from a melt blown thermoplastic such as polypropylene. For example, the filter can be manufactured by pleating a web of nonwoven polypropylene available from Kimberly-Clark Corp. as experimental melt blown, macrofiber polypropylene PP-100-F. Alternatively, the mouthend filter 22 can be manufactured from cellulose acetate tow, or the like. Preferably, the filter material is overwrapped with a paper plug wrap 58.

To maximize aerosol delivery which otherwise would be diluted by radial (i.e., outside) air infiltration through the overwrap 24, one or more layers of non-porous paper can be used to envelop the cigarette. Examples of suitable non-porous papers are cigarette papers commercially available from Kimberly-Clark Corp. as KC-63-5, F878-5, P878-16-2 and 780-63-5. If desired, the overwrap can be a resilient paperboard material, foil-lined paperboard, or the like; and the paperboard can be circumscribed by a cigarette paper wrap.

The reusable controller 14 includes a case 26 or outer housing which provides a convenient and aesthetic holder for the user. The outer housing 26 can have a variety of shapes and can be manufactured from plastic, metal, or the like. Controller 14 includes an insulative receptacle 44 which includes plug-in connectors 42, 43 for engagement with prongs 38, 39 of plug 16. Receptacle 44 also includes tube 48 which is inserted into passageway 46 of plug 16 to be in airflow communication with the internal region of the cartridge. The other end of tube 48 is in airflow communication with pressure sensing switch 28, so that changes in air pressure which occur within the cartridge during draw can be sensed by the switch.

Controller 14 also preferably includes a control circuit 30, which is connected to a puff actuated, differential pressure sensitive switch 28 by electrically conductive wires (not shown), as well as to batteries 34A and 34B via battery terminal 62. The control circuit 30 preferably is time based. That is, the preferred current control circuit preferably is based on controlling the time period during draw during which current passes through the resistance element. This time based control, in turn, controls the temperature experienced by the resistance element and by the aerosol forming substances. Preferred pressure sensitive switches and control circuits, and their connection power source 34 and resistance element 18, are described in greater

detail hereinafter with reference to Figures 9 and 10.

While the heat needed to volatilize the aerosol forming substance (and the drug or flavor substance in appropriate cases) during a puff varies for each particular substance, sufficient heat usually is necessary, during a puff, to heat the aerosol forming substance to a temperature above about 120°C in order to volatilize an appropriate amount of the aerosol forming substance. More typically, a temperature above about 150°C, often above about 200°C, and sometimes as high as about 300°C to about 350°C, is necessary to volatilize adequate amounts of the aerosol forming substance during a puff. However, it is desirable to avoid heating the aerosol forming substance to temperatures substantially in excess of about 550°C in order to avoid degradation and/or excessive, premature volatilization of the aerosol forming substance.

For a particular resistance heating element and a particular aerosol forming substance, a sufficient current is required, during each puff, to generate the heat necessary to volatilize enough aerosol forming substance to provide an adequate amount of delivered aerosol. For the preferred aerosol forming substances and the preferred carbon heating elements described herein, an 18 volt battery usually generates sufficient power (i.e., about 18 watts) to heat the aerosol forming substance to a suitable temperature to volatilize the aerosol forming material almost immediately after current actuation, i.e., within about 0.5 second, preferably within about 0.1 second. The 18 volt battery can be provided using two fully charged 9 volt manganese dioxide-zinc transistor batteries (as shown in Figure 1) or three 6 volt lead acid batteries. Also useful is a silver-zinc alkaline battery using potassium hydroxide as an electrolyte and having about 12 to about 15 single cells connected in series, wherein the surface area of each positive electrode is about 3.25 cm<sup>2</sup>. Other batteries can include nickel-zinc or nickel-cadmium batteries.

In use, the user inserts the plug 16 of the cartridge 12 into the receptacle 44 of the controller 14. Such action provides electrical connection of the resistance heating element 18 with the switch 28, the control circuit 30 and the batteries 34A and 34B. Such action also provides for airflow communication between the switch 28 and the inner portion of the cartridge. When the user puffs on the mouthend of the cartridge, ambient air enters the cartridge through air inlet 54. The pressure actuated switch 28 responds to a sensed change in air pressure within the cartridge during draw and permits current flow through the heating element 18. As a result, the heating element experiences an increase in temperature which in turn heats and

volatilizes the aerosol forming substance. The volatilized aerosol forming substance mixes with the drawn air and forms an aerosol. The volatilized aerosol forming substance (in aerosol or vapor form) exits the mouthend filter 22 into the mouth of the user. During the puff, the preferred current control circuit (described in detail hereinafter) regulates the flow of current to control the temperature experienced by the heating element and the amount of aerosol forming substance which is volatilized.

If the drug or flavor substance is carried by the resistance heating element, it normally volatilizes and passes to the mouth of the user in a manner similar to the aerosol forming substance. If not carried by the resistance heating element, the drug or flavor substance is either volatilized by heat generated by the resistance heating element or eluted by the aerosol forming material as the aerosol forming material passes through the article.

When the user stops drawing on the cartridge, the pressure actuated switch 28 again responds to the sensed change in air pressure within the cartridge, and further current flow through the heating element ceases. As a result, the temperature of the heating element and the aerosol former quickly drop below the volatilization temperature of the aerosol former, and aerosol formation ceases. This process continues, puff after puff, normally for at least about 6 puffs, until aerosol delivery drops below (i) the level desired by the user, or (ii) the dosing level required by the user. Then, the user can remove the cartridge 12 from the control-pack 14, and dispose of the cartridge. The user then can select a new cartridge, insert the new cartridge into the reusable controller, and repeat the aerosol delivery process.

The embodiment illustrated in Figure 2 is generally similar to the embodiment of Figure 1, except that the heating element 18 is positioned within a heat resistant, insulative tube 66. The insulative tube 66 preferably is manufactured from a ceramic, a heat resistant cellulosic, an aluminum tube having a surface coating of aluminum oxide, a high temperature plastic such as a polyimide, or the like. Preferably, a plasticized cellulose acetate tube 68 circumscribes the insulative tube 66, and is itself circumscribed by paper overwrap 24. This embodiment also includes tipping overwrap 70 circumscribing the mouthend of the cartridge in order to attach filter element 22 to the remaining portion of the cartridge.

Referring to Figure 3, the illustrated embodiment is generally similar to the embodiment of Figure 2, except that the controller or power pack 14 includes a flexible, cord-like connector 72 which terminates in a plug 74 having prongs 76, 77 for electrical connection into a receptacle 79 at one

end of cartridge 12. A needle-like tube 48 extends from switch 28 and extends through resilient overwrap 24 in order that changes in air pressure within the cartridge during draw can be sensed by the switch. If desired, the tube 48 can be incorporated into the cord-like connector 72 and extend into the cartridge through the receptacle 79. With such a design, it is possible for the user to place the control pack in a shirt pocket or on a table, and hold the cartridge in a normal fashion, without holding the added weight of the control pack in his/her hands. A light emitting diode 81 is positioned near the differential switch 28. The diode 81 is electrically connected to the electrical circuitry (as described hereinafter) such that it emits light during draw. As such, the user has a visual means for identifying periods when current passes through the resistance heating element 18.

Referring to Figure 4, the illustrated embodiment is generally similar to the embodiment of Figure 1, except that the heating element 18 is a circular disc or pad, preferably formed from an American Kynol carbon felt. The pad is permeable to airflow, and is disposed across an air passageway 83 in tubular collar 49 so that drawn air entering the cartridge 12 through opening 54 passes through the heating element 18. Electrical connection pins 85, 86 from plug 74 contact the heating element and help hold it in place against collar 49. In this embodiment, the collar 49 can be a thermoplastic material, a thermally stable plastic material, a ceramic, or the like.

The embodiment illustrated in Figure 5 is generally similar to the embodiment of Figure 1. In this embodiment, the heating element 18 is a circular disc or pad of carbon felt disposed across an air passageway 83 extending through tubular collar 49. The pad is held in place by shoulder 84 on the collar 49. In addition, the cartridge does not have an electrical connect plug. Instead, electrical connection pins 85, 86 for the heating element extend from a plug 74 located on the controller 14. The cartridge 12 is held in place relative to the controller 14 via a clip 89 extending from the controller, or other suitable connection means.

The embodiment illustrated in Figure 6 is generally similar to the embodiment of Figure 5, except that the pressure sensing tube 48 also is used as one of the connecting pins (e.g., in lieu of connection pin 86 of Figure 5).

Referring to Figure 7, aerosol delivery article 10 has the form of a pipe. The pipe includes a stem 90 having an air passageway 91 and a bowl 92 into which a disposable cartridge 94 is inserted. The bowl and stem can be manufactured from briarwood, or the like. The pipe 10 includes power source 34, such as one or more batteries, pressure sensing switch 28, pressure sensing passageway

93, current control circuit 30, and electrical pins 85, 86 extending from the bottom of the bowl. Preferred pressure sensing current control circuits and their connection to power source 34 and heating element 18 are described in greater detail hereinafter with reference to Figures 9 and 10.

The cartridge 94 includes an outer tubular housing 96 connected to a collar 98 which in turn supports resistance element 18 and the aerosol forming and drug or flavor substances at one end of the cartridge. The resistance element 18 can be a carbon fiber felt pad which extends perpendicularly to the longitudinal axis of the cartridge so that drawn air passes through the resistance element. The disposable cartridge 94 is positioned within the bowl 90, with the resistance heating element 18 positioned near the bottom of the bowl so that the electrical connection pins 85, 86 extending from the bowl contact the resistance element.

Referring to Figure 8, the illustrated embodiment is generally similar to the embodiment of Figure 7. In this embodiment, the resistance element 18 is positioned towards the air inlet end of the cartridge (i.e., remote from the bottom of the bowl) rather than near the air outlet end of the cartridge. In this case, the electrical connection pins 85, 86 extend from the bottom of the bowl to contact the resistance element 18.

The foregoing embodiments preferably incorporate the preferred circuit shown schematically in Figure 9. In particular, the circuit of Figure 9 includes a power source 34, the electrical resistance heating element 18, a current actuation mechanism 28, and a preferred current regulating circuit or means for controlling the passage of current through the resistance element during periods of current actuation.

The circuit includes a puff actuated control switch 28, or some other suitable current actuation/deactuation mechanism, such as a manually actuated on-off switch, a temperature actuated on-off switch, or a lip pressure actuated switch. The preferred puff actuated switch 28 enables current to pass through the heating element 18 only during draw on the article. A typical puff actuated switch includes a means for sensing the difference in air pressure in a region within the previously described cigarette or disposable cartridge and an "on-off" switch responsive thereto.

A preferred puff actuated switch 28 is a pressure differential switch such as Model No. MPL-502-V, range A, from Micro Pneumatic Logic, Inc., Ft. Lauderdale, Florida. Another suitable puff actuated mechanism is a sensitive pressure transducer (eg., equipped with an amplifier or gain stage) which is in turn coupled with a comparator for detecting a predetermined threshold pressure. Yet another suitable puff actuated mechanism is a



vane which is deflected by airflow, the motion of which vane is detected by a movement sensing means. Yet another suitable actuation mechanism is a piezoelectric switch. Also useful is a suitably connected Honeywell Microswitch Microbridge Air-flow Sensor, Part No. AWM 2100V from Micro-switch Division of Honeywell, Inc., Freeport, Ill. Other suitable differential switches, analog pressure sensors, flow rate sensors, or the like, will be apparent to the skilled artisan.

The current regulating circuit preferably is time based. Normally, such a circuit includes a means for permitting uninterrupted current flow through the heating element for an initial time period during draw, and a timer means for subsequently regulating current flow until draw is completed. Preferably, the subsequent regulation involves the rapid on-off switching of current flow (eg., on the order of about every 1 to 50 milliseconds) to maintain the heating element within the desired temperature range. Alternatively, the subsequent regulation involves the modulation of current through the heating element to maintain the heating element within a desired temperature range.

One preferred time-based current regulating circuit preferably includes a transistor 110, a timer 112, a comparator 114, and a capacitor 116. Suitable transistors, timers, comparators and capacitors are commercially available and will be apparent to the skilled artisan. Exemplary timers are those available from NEC Electronics as C-1555C and from General Electric Intersil, Inc. as ICM7555, as well as various other sizes and configurations of so-called "555 Timers". An exemplary comparator is available from National Semiconductor as LM311.

In the preferred circuit of Figure 9, the means for determining the length of the initial time period of uninterrupted current flow includes resistors 118, 120, 122 and 124; capacitor 116; and comparator 114. The comparator 114 is powered by connection to entrance pin 128 and to ground pins 130, 132. Resistors 122 and 120 constitute a voltage divider which provides a predetermined reference or threshold voltage at the voltage divider tap 134 (i.e., the common point between resistors 122 and 120). The voltage divider tap 134 is connected to the negative entrance pin 136 of comparator 114. Capacitor 116 is connected in parallel with resistor 124. The parallel combination of capacitor 116 and resistor 124 is connected in series with resistor 118 at one end and to the ground reference point of the power source 34 at the other end. The other end of resistor 118 is connected to power source 34 via switch 28. The common node point between the resistor 118 and the parallel combination of capacitor 116 and resistor 124 is connected to the positive entrance pin 138 of comparator 114.

Resistors 118 and 124 and the capacitance of capacitor 116 are chosen so that the charge rate of capacitor 116 approximates the heating and cooling rate of the resistance heating element 18. The ratio of the resistance of resistor 124 to the sum of the resistances of resistors 118 and 124 sets the maximum voltage to which capacitor 116 can charge. Preferably, the resistances of voltage divider resistors 120 and 122 provide a voltage which is slightly below the maximum capacitor voltage set by resistors 118 and 124.

The timer means for regulating (or interrupting) current flow after the initial time period includes timer 112, diodes 140, 141, resistors 143, 145, and capacitor 147. This timer means generates a periodic digital wave having a preset on-off duty cycle, which is used to rapidly switch the current "on" and "off" at transistor 110 after the passage of the initial time period, to control the temperature range experienced by the resistance heating element.

Timer 112 is powered by connection through entrance pin 149 and ground pin 151. The reset pin 153 of timer 112 is connected to output pin 155 of comparator 114. As a result, the comparator 114 disables the timer during the initial period of uninterrupted current flow. A resistor 157 provides a so-called "pull-up" function for the reset pin 153 of timer 112.

Timer 112 also is connected to diodes 140, 141 at discharge pin 166. Diodes 140, 141 are in turn connected to resistors 145 and 143, respectively. In addition, timer 112 is connected to resistors 143 and 145, and capacitor 147 through trigger pin 168 and threshold pin 169. Capacitor 147 is provided to set the overall time period of the duty cycle. Preferably capacitor 147 is one which charges and discharges at a rapid rate in order that a relatively rapid duty cycle (e.g., in the order of 1 to 50 milliseconds) is provided.

Resistor 145 determines the charge rate of capacitor 147, and thus the "off" period of the duty cycle, while resistor 143 determines the discharge rate of the capacitor and thus the "on" period of the duty cycle. Diode 140 acts to allow current flow from the timer 112 through resistor 145 and to capacitor 147 during periods when the capacitor is charging, and prevents current passage through resistor 145 when the capacitor is discharging. Diode 141 acts to allow current flow from the capacitor 147 through resistor 143 and to the timer during periods when the capacitor is discharging, and prevents current passage through resistor 143 when the capacitor is charging. Thus, the relative on-off duty cycle of the wave form can be varied by selection of the resistances of resistors 143 and 145.

The output pin 159 of timer 112 is connected to resistor 161. The resistor 161 is in turn con-

connected to the base of transistor 110 in order to limit "on" current through the base-emitter (BE) junction of the transistor. The transistor 110 acts to control the relatively large current which passes through the resistance element 18 from the power source 34 by switching "on" and "off" in response to current flow from the timer.

When draw commences, the puff actuated switch 28 closes to allow current flow through the circuit. The normally "off" transistor switches "on" in response to current flow through the timer 112. This allows current to flow through the resistance heating element 18.

Simultaneously, capacitor 116 begins to charge. When capacitor 116 is charged to the predetermined threshold voltage determined by resistors 120 and 122, which typically occurs in about 1 second, comparator 114 activates timer 112 through reset pin 153. This terminates the uninterrupted current flow to the transistor 110 by switching the transistor "off." At the same time, the timing means begins generating the periodic digital wave form having a preset on-off duty cycle at output pin 159. Such action of the timing means in turn causes the transistor to switch "on" and "off" rapidly, thus rapidly enabling and disabling current flow through the heating element 18. This rapid switching acts to control the average current flow through the heating element, thus controlling the temperature range experienced by the heating element during the balance of a puff.

As described above, the capacitance of capacitor 147 determines the overall time period of the preset duty cycle, while the relative "on" and "off" periods of the duty cycle are determined by the relative resistances of resistors 143 and 145. By varying these resistances, it is possible to closely control the temperature range experienced by the heating element 18, so as to provide a relatively steady state temperature range, or a controlled decrease or increase in the temperature range during the latter portion of a puff.

When draw ceases, puff actuated switch 28 opens to prevent further current flow through the circuit. As a result, the transistor 110 switches to its normally "off" position, thus preventing further current flow through the heating element 18. As a result, the heating element begins to cool, and volatilization of the aerosol forming and/or flavor substance ceases. At the same time, capacitor 116 begins to discharge, preferably at about the same rate at which the heating element cools.

When a subsequent draw commences, the puff actuated switch again closes, thus allowing current to flow through the circuit. If the subsequent draw is taken before the capacitor 116 has discharged completely (i.e., before the heating element has cooled completely), the capacitor 116 preferably

recharges to the predetermined threshold voltage at about the same rate at which the heating element heats. This activates timer 112 and terminates the period of uninterrupted current flow at about the same time that the heating element 18 reaches the preferred temperature range. As such, the heating element is prevented from overheating during periods of rapid puffing by the user.

Controllers and aerosol delivery articles of the invention also can incorporate the alternate time-based circuit shown schematically in Figure 10. In particular, the circuit of Figure 10 includes a power source 34, the electrical resistance heating element 18, a current actuation mechanism 28, and a current regulating circuit or means for controlling the passage of current through the resistance element during current actuation.

The preferred current actuation mechanism 28 is a puff actuated control switch of the type described previously.

The current regulating circuit shown in Figure 10 is time based. This circuit includes timer 112, resistors 161, 176, 178 and 180, capacitor 190, and transistor 110.

Exemplary timers have been described previously. The timer 112 is powered by connection through entrance pin 149 and ground pin 151. The output pin 159 of the timer 112 is connected to the base of transistor 110 through resistor 161. The timer 112 is connected to resistor 180 through threshold pin 169; to the node point between resistors 180 and 178 through trigger pin 168; and to the node point between resistors 178 and 176 through discharge pin 166. The node point between resistors 180 and 178 is in turn connected to capacitor 190 which is connected to ground reference point of the power source 34.

The sum of the resistances of resistors 178 and 176 determines the period of uninterrupted current flow through resistance element 18, while the resistance of resistor 176 determines the period during which current flow is prevented from passing through the resistance element. Resistor 180 limits the voltage discharge rate of capacitor 190 so as to limit the initial heating time of the resistance element during a subsequent puff taken a short time after the preceeding puff.

If desired, light emitting diode 81 and resistor 192 can be employed. The light emitting diode 81 is connected in series with resistor 192. The series combination of diode 81 and resistor 192 is connected in parallel with the resistance element 18. The light emitting diode thus illuminates during draw, and the user then can have a visual means for identifying periods when current passes through the resistance element for heat generation. Such light emitting diodes also can be employed in the preferred circuit illustrated in Figure 9.

When draw commences, the puff actuated switch 28 closes to allow current flow through the circuit of Figure 10. The normally "off" transistor switches "on" in response to current flow through the timer 112, and in turn allows current to flow through the resistance heating element 18.

Simultaneously, capacitor 190 begins to charge. When capacitor 190 is charged to the predetermined voltage determined by resistors 178 and 176, timer 112 acts to switch the transistor 110 and current flow through heating element 18 "off." However, after a further period of time determined by resistor 176, the timer 112 again is turned "on." This process repeats itself until draw ceases. As such, the temperature experienced by the resistance element can be controlled so as to not overheat during a relatively long draw period. For example, a duty cycle can consist of an "on" period of uninterrupted current flow immediately upon draw for about 1.5 to about 2 seconds, followed by an "off" period of about 0.5 to about 1 second. When draw ceases, puff actuated switch 28 opens to prevent further current flow through the circuit. As a result, the transistor 110 returns to its normally "off" position, thus preventing further current flow through the resistance element 18. The resistance element cools, and volatilization of the aerosol forming and/or drug or flavor substance ceases. At the same time, capacitor 190 discharges.

Current regulating means which modulate current flow through the heating element can be employed in place of the previously described on-off time-based circuits. In addition, on-off and current modulating means can be connected to temperature sensors or other sensing means, rather than to a time-based circuit, in order to control the passage of current through the resistance heating element. Such sensors can be temperature sensors such as infrared sensors, piezoelectric films or the like, or thermostats such as bimetallic strips. Such temperature sensors can sense either the temperature of the resistance element directly or the temperature of the air passing the heating element. Alternatively, the temperature sensors can sense the temperature of a second "model" resistance heating element having a heating and cooling character related to that of the aerosol carrying heating element. Another type of sensor which can be employed is a dynamic resistance sensor which senses the change in electrical resistance of the heating element during the heating period.

The following examples are provided in order to further illustrate the invention but should not be construed as limiting the scope thereof. Unless otherwise noted, all parts and percentages are by weight, and all sizes are approximate.

## EXAMPLE 1

A flavor delivery article substantially as shown in Figure 2 is prepared as follows:

### A. Preparation of the Disposable Portion

End plug 16 is formed from a Delrin plastic cylinder to have a 2 mm long section of 8 mm diameter and a 3 mm long section of 7 mm diameter. The plug is provided with a passageway 46 of sufficient size to receive an 18 gauge needle 48 and two smaller passageways to receive electrical connector pins 38, 39.

The electric resistance heating element 18 is formed from a 32 mm length of carbon filament yarn obtained from American Kynol, Inc., under Catalogue No. CFY-0204-1. This heating element has a resistance of about 20 ohms and a reported surface area of about 1,500 m<sup>2</sup>/g. The heating element is impregnated, dropwise, with 25  $\mu$ l of a liquid aerosol forming substance comprising a mixture of glycerin, propylene glycol and triethylene glycol, and 30  $\mu$ l of an artificial coffee flavor. The polyhydric alcohol mixture consists primarily of glycerin.

Two 15 mm long crimp connectors 40, 41, including pins 38, 39, are obtained from Black Box Corp., Pittsburgh, PA under Catalog No. GH-FA810. Crimp connectors 40, 41 are attached to each end of the heating element 18. Pin 38 of the first connector 40 is inserted through one of the smaller passageways in the plug 16. The heating element then is folded over a 20 mm long, 5 mm wide strip of Kapton polyimide film 36, to keep the heating element from contacting itself, and pin 39 of the second connector 41 is then inserted through the second small passageway of the plug 16.

A 9 mm long Delrin tube 49 is fabricated from an 8 mm diameter cylinder. One section, 6 mm long, has a 7 mm inner diameter (I.D.), and a second section, 3 mm long, has a 4 mm I.D. A single air inlet hole 54 is made about 4 mm from the 4 mm I.D. end of the tube using a No. 64 drill bit. The 7 mm I.D. end of the tube 49 is then friction fit over the 7 mm end of plug 16.

A 39 mm long, 4 mm outer diameter Kapton polyimide tube 66 is slipped over the resistance element 18 and inserted about 4 mm into the 4 mm I.D. end of the Delrin tube 49. A 36 mm length of a 8 mm O.D. plasticized cellulose acetate tube 68, SCS-1 from American Filtrona Corp., is slipped over the polyimide tube. This tube 68 then is overwrapped with a layer of Kimberly-Clark P-850-192-2 paper 24.

A 10 mm long, low efficiency cellulose acetate

filter 22 (8 denier per filament, 40,000 total denier) is fastened to the open end of the wrapped tubes with a layer of tipping paper 70. The overall length of the disposable portion 12 is about 55 mm.

### B. Assembly of the Controller

A polystyrene housing for the controller is formed to provide chambers for a pressure sensitive switch, a current control circuit, and a battery power supply.

The pressure sensitive switch is the switch portion of a Model No. MPL-502-V, range A, differential switch obtained from Micro Pneumatic Logic, Inc. A 20 mm long 18 gauge steel needle 48 is inserted into the appropriate opening in the switch. A polymethylmethacrylate receptacle 44 having a length of 26 mm, a height of 12 mm and a width of 9 mm is formed with a hole for the gauge needle and fitted with two Black Box Model No. GH-FA820 plug-in connectors 42, 43. The receptacle is slipped over the needle and inserted into an appropriately sized opening in the case.

The control circuit employed is schematically illustrated in Figure 9. It is designed to provide uninterrupted current flow through the heating element for 1 second after the commencement of a puff. During the balance of the puff, the control circuit is designed to alternately switch off for 5 milliseconds and then on for 5 milliseconds (a 50 percent duty cycle), until the pressure actuated control switch opens. Comparator 114 is a Model LM 311 obtained from National Semiconductor. As shown in Figure 9, connections are made at entrance pin 128, ground pins 130 and 132, negative entrance pin 136, positive entrance pin 138, and output pin 155. Timer 112 is a Model C-1555C obtained from NEC Electronics. Connections to timer 112 are made at trigger pin 168, threshold pin 169, output pin 159, discharge pin 166, entrance pin 149 and ground pin 151. Transistor 110 is a Model MJE 2955 from Motorola Semiconductor Products. Diodes 140 and 141 are Type 1N914 diodes from Fairchild Semiconductor Corp. Capacitor 116 has a capacitance of 2.2  $\mu$ F. Capacitor 147 has a capacitance of 0.1  $\mu$ F. The resistances of the resistors 118, 120, 122 and 124 are 1,000,000 ohm; 180,000 ohm; 1,000,000 ohm; and 820,000 ohm, respectively. The resistances of resistors 157, 143, 145 and 161 are 120,000 ohm; 39,000 ohm; 100,000 ohm; and 1,000 ohm, respectively.

The control circuit is connected to the switch, the receptacle for the plug on the disposable portion, and the battery terminals, as schematically illustrated in Figure 9. The battery supply consists of two 9 volt alkaline transistor batteries connected in series.

### C. Use

The end plug 16 is placed against receptacle 44 to electrically connect the disposable portion to the controller and insert the needle into the disposable portion. The mouthend of the disposable portion is placed in the mouth of the user, and the article is drawn upon. The article produces visible aerosol and flavor on all puffs for 8 consecutive puffs.

### EXAMPLE 2

An article similar to the article described in Example 1 is prepared, except that (i) the resistance element is a length of Kynol Catalogue No. CFY-0204-1 carbon fiber yarn having a resistance of 28.1 ohms, and (ii) the heating element is impregnated with 35.9 mg of glycerin.

The article is tested under conditions of 2 second, 35 ml puffs, taken every 30 seconds. The article produces visible aerosol on all puffs for a total of 10 puffs, and yields 21.3 mg WTPM.

### EXAMPLE 3

Kynol Catalogue No. CFY-0204-2 carbon fiber yarn having a length of about 32 mm has a resistance of 18 ohms, and is used to prepare a heat generating electrical resistance element. The yarn has 18  $\mu$ l of glycerin applied thereto. Each end of the yarn is electrically connected to an 18 volt/1 ampere limited power source. The arrangement is equipped with the control circuit described in Example 1.

The assembly is actuated such that the resistance element generates heat for a 2 second interval once every 30 seconds. During each puff, the timing mechanism begins to control current flow 1 second after current flow through the resistance element is commenced. The duty cycle provided by the timing mechanism is 10 milliseconds. Maximum current is allowed to flow through the resistance element over one half the duty cycle, and no current is allowed to flow through the resistance element over the other half of the duty cycle. As such, the average current experienced during the timer cycle period is about one half of the maximum current.

Voltage and current levels are measured over time, and the temperature of the resistance element is monitored over time using a Wahl Model HSM-672 IR Spy focused onto the resistance element. Values of the measured and monitored data are recorded, and are presented in Figure 11.

As shown in Figure 11, the maximum tempera-

ture reached on the first puff is about 200°C, on the second puff about 350°C, and thereafter about 500°C. Moreover, on each puff, the heating element rapidly achieves the maximum temperature and thereafter maintains that temperature during the balance of the puff, after which the element cools to ambient temperature over about a 5 second period.

#### EXAMPLE 4

A flavor delivery article substantially as shown in Figure 3 is prepared as follows:

##### A. Preparation of the Disposable Portion

Ceramic receptacle 79 is formed from a 7 mm long, 4 mm diameter section of a ceramic cylinder having two longitudinal, 1.5 mm diameter passageways.

The electric resistance heating element 18 is formed from a length of carbon filament yarn obtained from American Kynol, Inc., under Catalogue No. CFY-0204-2 sufficient to provide a measured resistance of 18 ohms when incorporated into the disposable portion. This element is impregnated with 38 mg of a liquid aerosol forming substance comprising 20 ul of a mixture of glycerin, propylene glycol and triethylene glycol, and 5 ul of an artificial coffee flavor. The ends of the heating element are inserted through the passageways of the receptacle 79 form a loop, and the ends of the element are folded back over the receptacle. A strip of polyimide film 36 is positioned within the loop to prevent the heating element from contacting itself.

Over the resistance element loop and the receptacle 79 is friction fit a Kapton tube of 4 mm O.D. and a length of 80 mm. The length of the polyimide tube then is enveloped to a diameter of about 8 mm with insulative glass fibers 68 obtained from Owens Corning, Toledo, Ohio, as Glass No. 6437. The glass fibers 68 are enveloped by a non-porous cigarette paper wrap 24, available as P-850-192-2 paper from Kimberly-Clark Corp. The diameter of the resulting rod is 8 mm.

At the end of the rod remote from the ceramic receptacle is positioned a low efficiency cellulose acetate tow (8 denier per filament, 40,000 total denier) filter element 22 having a length of about 10 mm and a diameter of about 8 mm. The rod and filter element are held together using tipping paper.

About 1 mm behind the insulative receptacle 79 are pierced several openings 54 through the paper wrap 24 and the polyimide tube 66 to pro-

vide air inlet openings for aerosol formation. The perforations are of about 0.8 mm diameter, which is sufficient to provide the disposable portion with a draw resistance of about 100 mm H<sub>2</sub>O pressure drop as determined using a Model No. FTS-300 pressure drop tester from Filtrona Corp.

##### B. Assembly of the Controller

The controller includes a pressure sensitive switch 28, a current control circuit 30, a battery power supply 34A, 34B and a flexible, electric cord 72 which terminates in a cylindrical plug 74.

The cord 72 is a 50 mm length of insulated copper wire. The plug 74 includes a ceramic cylinder, having a length of 10 mm and a diameter of 4 mm with two small passageways extending longitudinally therethrough; and a heat resistant bushing made from Zydor from Dartco Mfg., Inc., Augusta, Georgia. The cylindrical plug has a diameter of about 8 mm. Copper pins 76, 77 connected to cord 72 are inserted through the passageways in the ceramic cylinder to extend 10 mm beyond the face of the plug.

The pressure sensitive switch 28 is a Model No. MPL-502-V, range A, differential switch obtained from Micro Pneumatic Logic, Inc. A 12 mm long, 18 gauge steel needle is inserted into the appropriate opening in the switch.

The control circuit employed is schematically illustrated in Figure 10. It is designed to provide uninterrupted current flow through the heating element for 2 seconds after the commencement of a puff. During the balance of the puff, the control circuit is designed to alternately switch off for 1 second and then on for 2 seconds, until the pressure actuated control switch opens. Timer 112 is a Model C-1555C obtained from NEC Electronics. Connections to timer 112 are made at trigger pin 168, threshold pin 169, output pin 159, discharge pin 166, entrance pin 149 and ground pin 151. Transistor 110 is a Model MJE 2955 from Motorola Semiconductor Products. Capacitor 190 has a capacitance of 22 uF. The resistances of the resistors 176, 178 and 180 are 20,000 ohm; 120,000 ohm; and 68,000 ohm, respectively. Resistors 161 and 192 each have resistances of 1,000 ohm.

The control circuit is connected to the switch, the cord 72, and the battery terminals, as schematically illustrated in Figure 10. The battery supply consists of two 9 volt alkaline transistor batteries connected in series.

##### C. Use

The pins 76, 77 of plug 74 are inserted into receptacle 79 to contact the heating element 18 and hence electrically connect the disposable portion to the controller by contacting each end of the resistance element 18. The needle 48 is pierced through the outer wrap 24 and the polyimide tube 66 of the disposable portion. The mouthend of the disposable portion is placed in the mouth of the user, and the article is drawn upon. Visible, flavored aerosol is provided during each puff, and during each puff period, the indicator light illuminates.

#### EXAMPLE 5

A flavor delivery article substantially as shown in Figure 4 is prepared as follows:

##### A. Preparation of the Disposable Portion

Electrically insulative plug 16 is formed from a Delrin cylinder to have a 2 mm long section of 8 mm diameter and a 3 mm long section of 7 mm diameter. The plug is provided with a passageway 46 of sufficient size to receive an 18 gauge needle and two smaller passageways to receive electrical connector pins 38, 39.

The pins 38, 39 are gold plated copper pins which extend through the passageways in the plug, beyond the 8 mm O.D. end of the plug, and 3 mm beyond the 7 mm O.D. end. The pins have a flattened bead of silver solder applied at the ends which extend beyond the 7 mm O.D. end.

An insulative collar 49 is formed from a Delrin cylinder having a length of 9 mm and a diameter of 8 mm to a tubular form having a 3 mm segment of 4.5 mm I.D. and a 6 mm segment of 6 mm I.D. A single air inlet 54 is made about 4 mm from the 6 mm I.D. end of the collar.

The electric resistance heating element 18 is formed from a 6 mm diameter circular disc of carbon filament felt obtained from American Kynol as Kynol Activated Carbon Felt ACN-211-10. The resistance element weighs about 8 mm and had a reported resistivity of 20 to 30 ohms-cm. The felt has a liquid, flavored aerosol forming substance applied thereto in a dropwise manner. The aerosol forming substance is 25  $\mu$ l of a mixture of glycerin, triethylene glycol and propylene glycol, and 20  $\mu$ l of an artificial coffee flavor.

The resistance element 18 is inserted into the 6 mm I.D. end of the collar 49 to abut against the 4.5 mm I.D. portion of the collar.

The 6 mm I.D. end of the collar 48 then is fit over the narrow end of plug 16 such that the flattened silver solder ends of pins 38 and 39 each

contact the resistance element 18.

A resilient paperboard tube having an 8 mm O.D. and a length of 75 mm is abutted against the end of collar 49 opposite plug 16, and the two tubes are held in place using adhesive tape 52.

##### B. Use

The plug 16 is placed against receptacle 88 of the controller described in Example 1 to electrically connect the disposable portion to the controller and insert the needle 48 into the disposable portion. The mouthend of the disposable portion is placed in the mouth of the user, and the article is drawn upon. Visible aerosol having a coffee flavor is provided on all puffs for 8 puffs.

#### EXAMPLE 6

A drug delivery article substantially as shown in Figure 2 is prepared using the article described in Example 1, except that the artificial coffee flavor is replaced by 1.25 mg of epinephrine.

#### EXAMPLE 7

A drug delivery article substantially as shown in Figure 3 is prepared using the article described in Example 3, except that the artificial coffee flavor is replaced by 1 mg of epinephrine.

#### EXAMPLE 8

A drug delivery article substantially as shown in Figure 4 is prepared using the article described in Example 4, except that the aerosol forming substance is 35  $\mu$ l of a mixture of 20 parts glycerin and 1 part epinephrine.

#### Claims

1. A disposable portion of an aerosol delivery article containing a drug or flavor substance for use with a source of electrical power comprising:

- a) an electrical resistance heating element having a surface area greater than 1 m<sup>2</sup>/g; and
- b) aerosol forming substance carried by the heating element prior to use.

2. The disposable article of Claim 1, wherein the heating element has a surface area greater than 50 m<sup>2</sup>/g.

3. The disposable article of Claim 1 or 2, wherein the heating element is a fibrous material.

4. The disposable article of Claim 1 or 2, wherein the disposable article includes an air passageway at least partially therethrough, and the electrical resistance element comprises an air permeable heating element positioned in the passageway.

5. The disposable article of Claim 4, wherein the heating element is positioned substantially perpendicularly to the longitudinal axis of the air passageway.

6. The disposable article of Claim 1, wherein the heating element is adapted for connection to an external source of electrical power.

7. The disposable article of Claim 1, including means for connecting the heating element to an external source of electrical power.

8. The disposable article of Claim 1, including an air outlet for delivering aerosol to the user, and wherein the air outlet and the means for connecting the heating element to the external source of electrical power are at opposite ends of the disposable article.

9. The disposable article of Claim 1, including an air outlet for delivering aerosol to the user and wherein the air outlet and the means for connecting the heating element to the external source of electrical power are at the same end of the disposable article.

10. A disposable portion of an aerosol delivery article containing a drug or flavor substance for use with a source of electrical power comprising:

- a) an air passageway at least partially through the disposable portion;
- b) an air permeable electrical heating element located in the air passageway; and
- c) an aerosol forming substance.

11. The disposable article of Claim 10, wherein the heating element is positioned substantially perpendicularly to the longitudinal axis of the air passageway.

12. The disposable article of Claim 10 or 11, wherein the aerosol forming substance is carried by the heating element prior to use.

13. The disposable article of Claim 10, wherein the heating element is adapted for connection to an external source of electrical power.

14. An aerosol delivery article containing a drug or flavor substance for use with a source of electrical power comprising:

- a) an electrical resistance heating element having a surface area greater than  $1 \text{ m}^2/\text{g}$ ;
- b) aerosol forming substance carried by the heating element prior to use; and
- c) puff actuated control means for permitting current flow through the heating element during draw by the user.

15. The article of Claim 14, further including means for regulating current flow through the heat-

ing element during draw.

16. The flavor delivery of Claim 14 or 15, further comprising a source of electrical power.

17. The article of Claim 14 or 15, wherein the heating element comprises a porous material and the aerosol forming substance comprises a liquid impregnated within the heating element.

18. The article of Claim 14 or 15, wherein the heating element has a surface area greater than  $50 \text{ m}^2/\text{g}$ .

19. The article of Claim 14, wherein the aerosol forming substance includes a drug or flavor substance.

20. The article of Claim 15, wherein the means for regulating current flow during draw comprises a timer.

21. The article of Claim 15, wherein the means for regulating current flow during draw includes means for controlling the average current flow through the heating element during a portion of the draw.

22. An aerosol delivery article containing a drug or substance for use with a source of electrical power comprising:

- a) an electrical resistance heating element;
- b) aerosol forming substance;
- c) switch means for actuating and deactuating current flow through the heating element; and
- d) time based means for (i) permitting unrestricted current flow through the heating element for an initial predetermined time period upon current actuation, and (ii) for subsequently regulating current flow until current deactuation.

23. The article of Claim 22, wherein the aerosol forming substance is carried by the heating element prior to use.

24. The article of Claim 23, wherein the heating element has a surface area greater than  $1 \text{ m}^2/\text{g}$ .

25. The article of Claim 22, further comprising a source of electrical power.

26. The article of Claim 22, wherein the aerosol forming substance includes a drug or flavor substance.

27. An aerosol delivery article containing a drug or flavor substance for use with a source of electrical power comprising:

- a) an air passageway at least partially through the article;
- b) an air permeable electrical resistance heating element located in the air passageway;
- c) an aerosol forming substance;
- d) puff actuated control means for permitting current flow through the heating element during draw by the user; and
- e) a mouth end.

28. The article of Claim 27, wherein the aerosol forming substance is carried by the heating ele-

ment.

29. The flavor delivery article of Claim 27, further comprising means for regulating current flow through the heating element during draw.

30. The article of Claim 27, wherein the means 5  
for regulating current flow during draw comprises a timer.

10

15

20

25

30

35

40

45

50

55



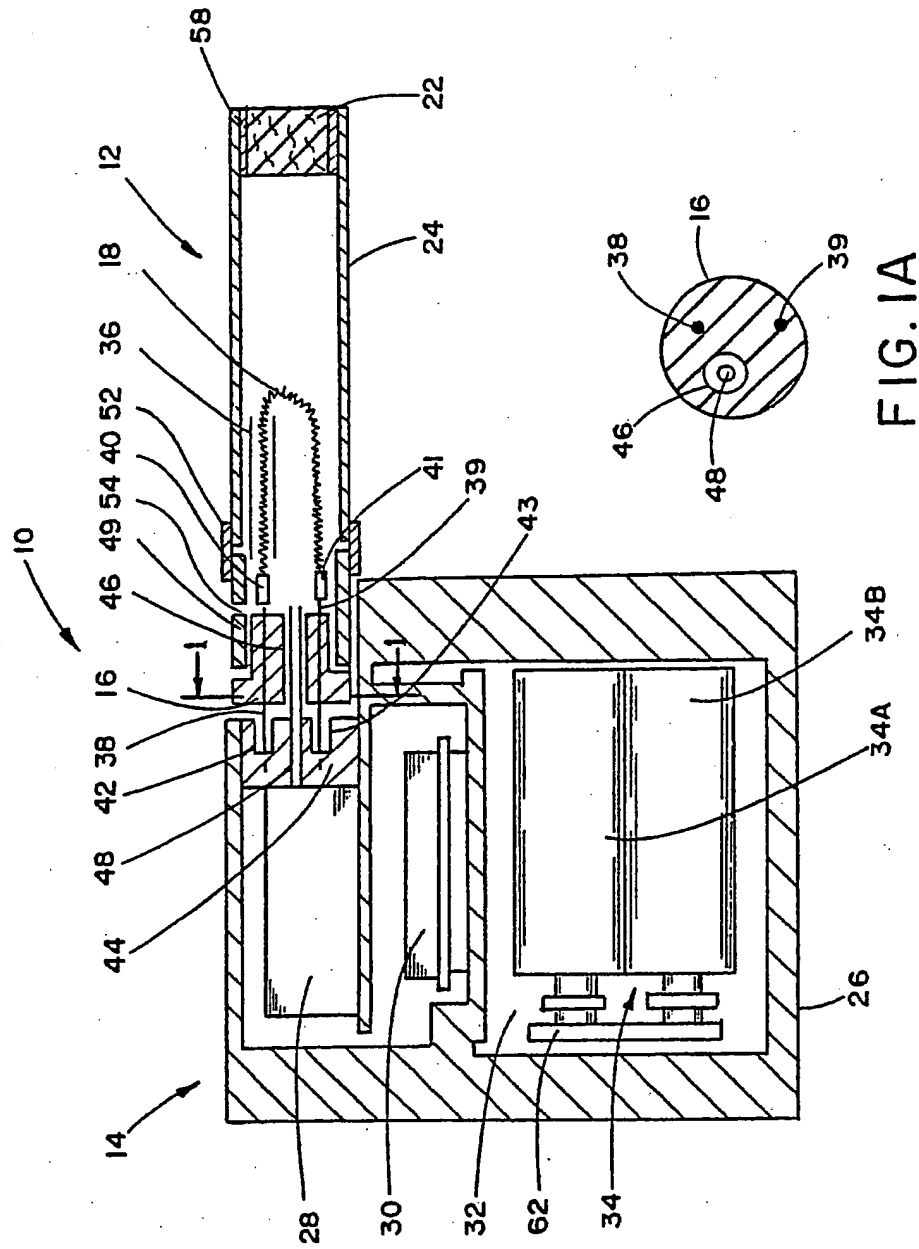


FIG. 1

FIG. 1A

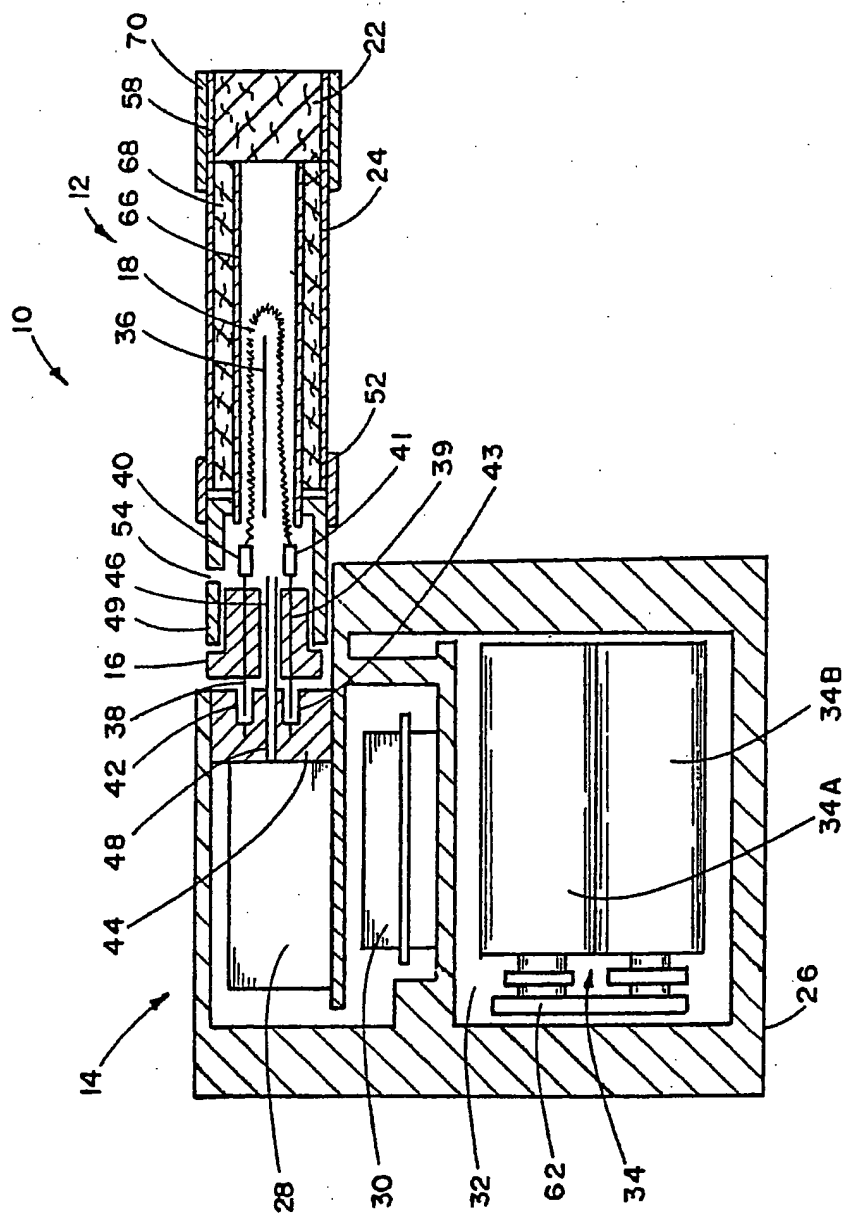


FIG. 2

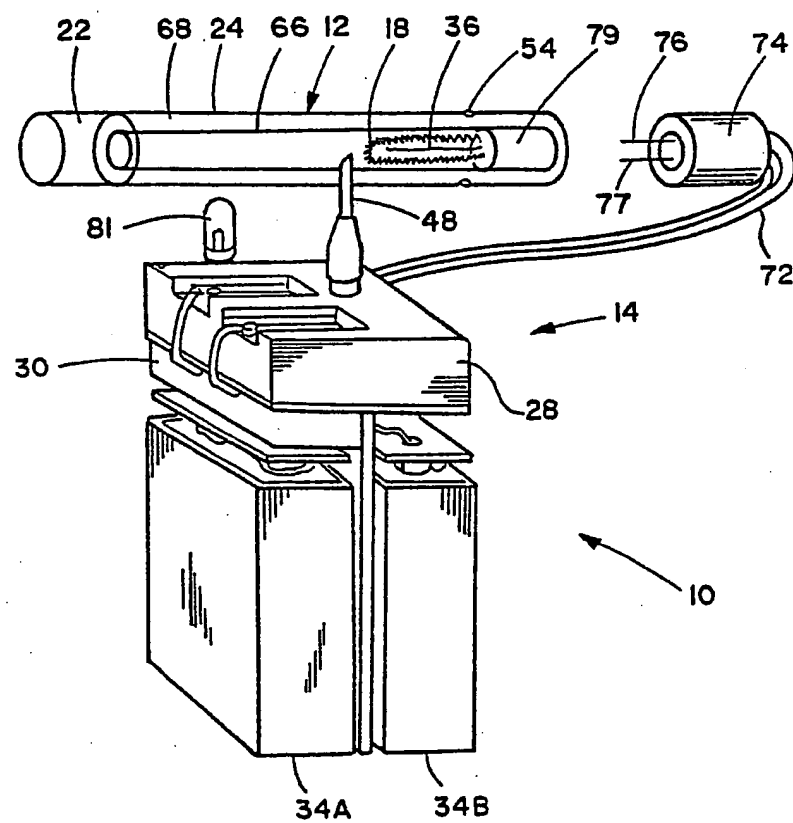
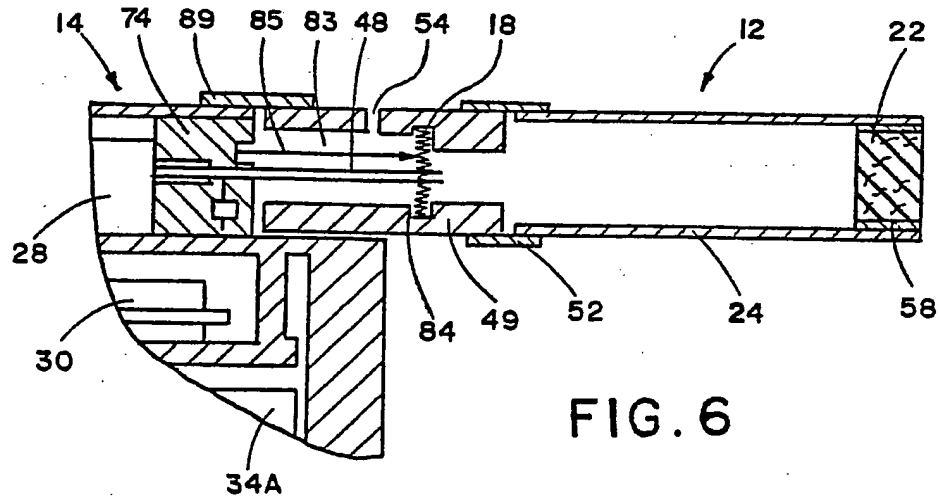
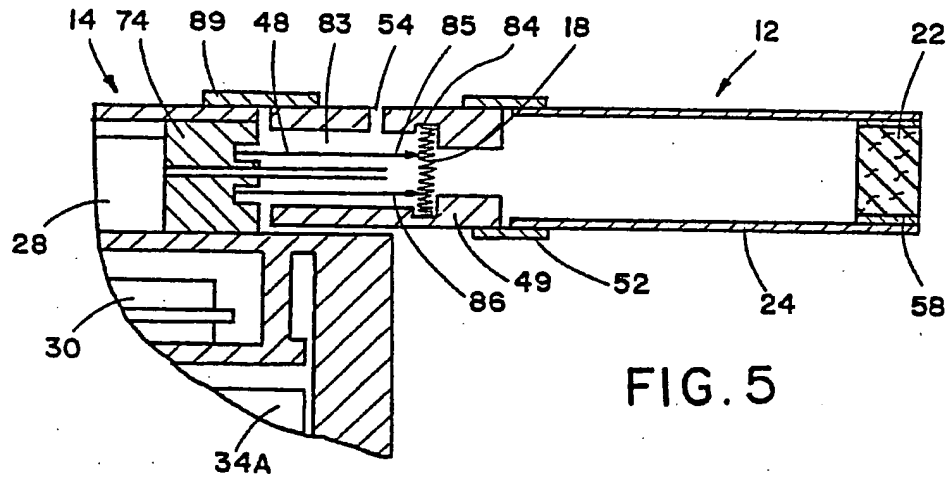
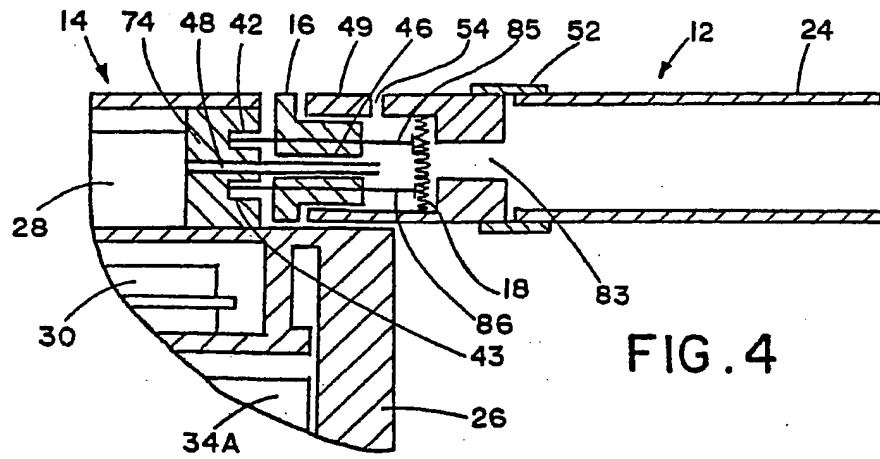


FIG. 3



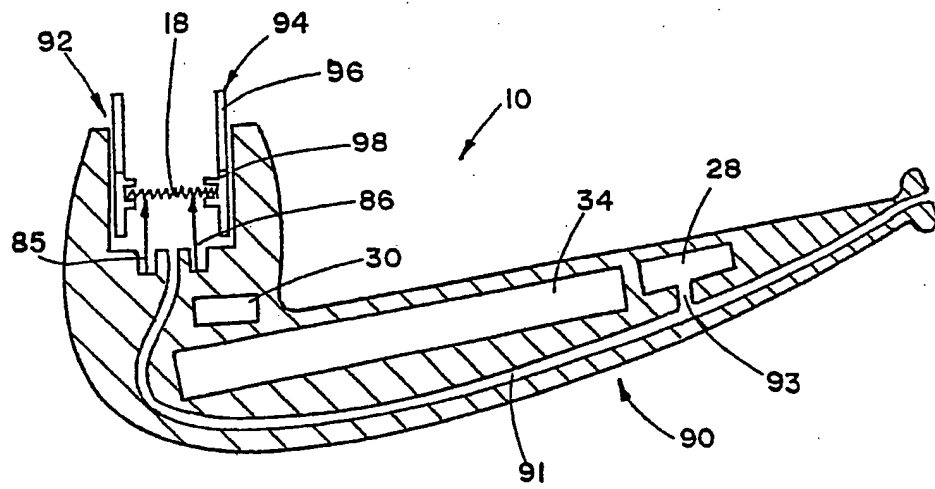


FIG. 7

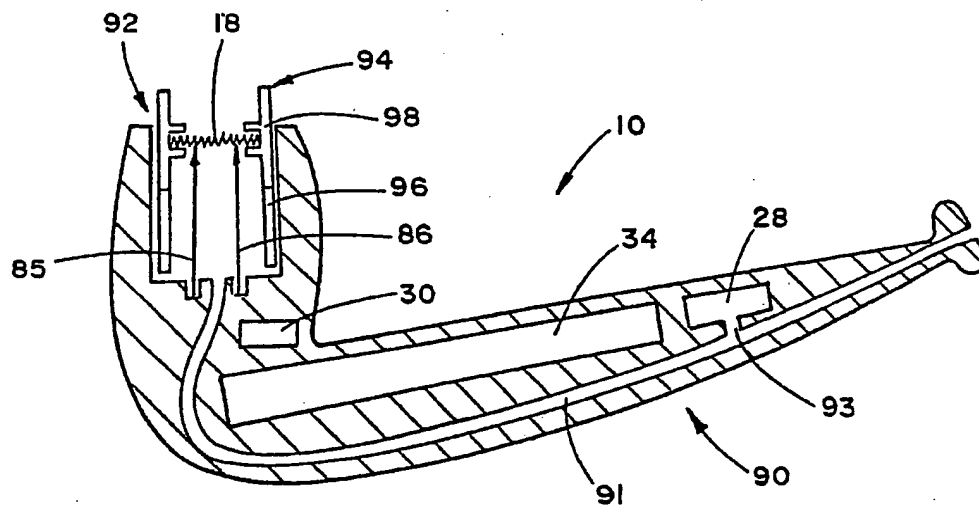


FIG. 8

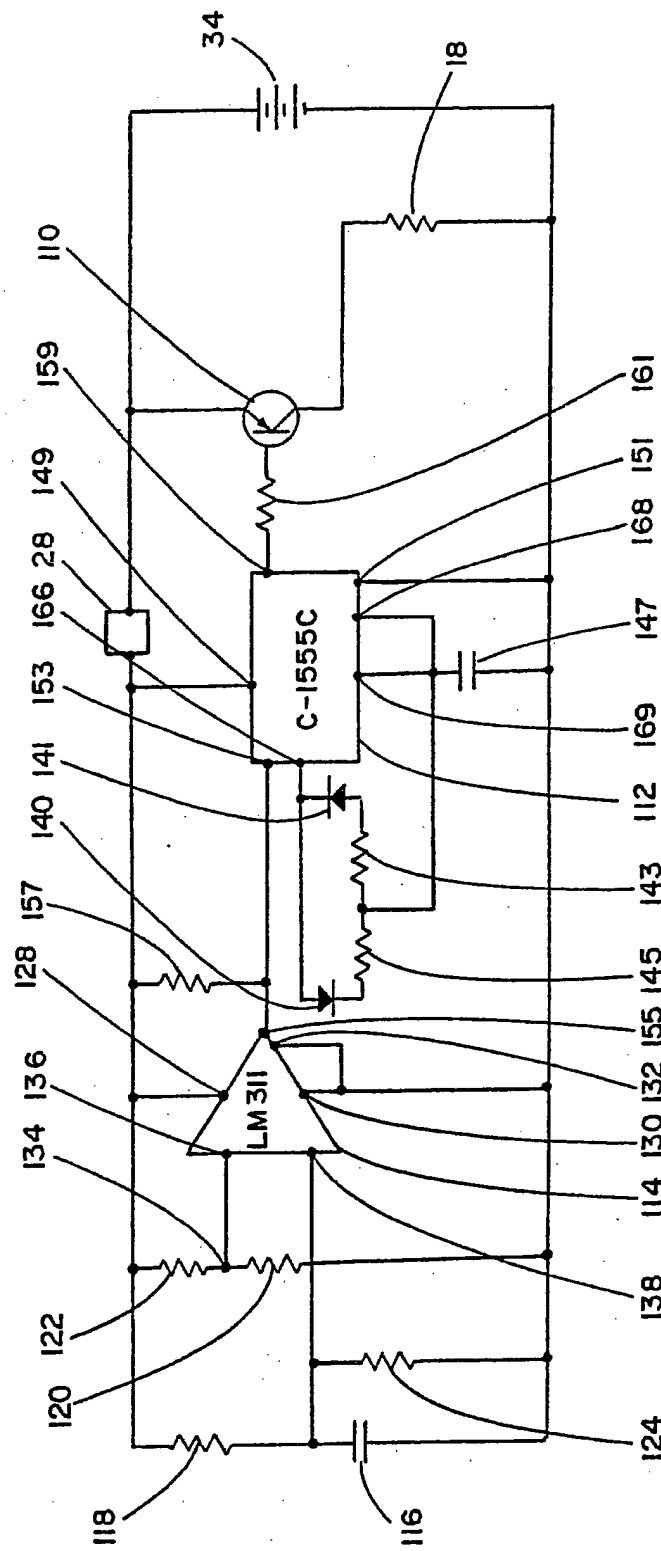


FIG. 9

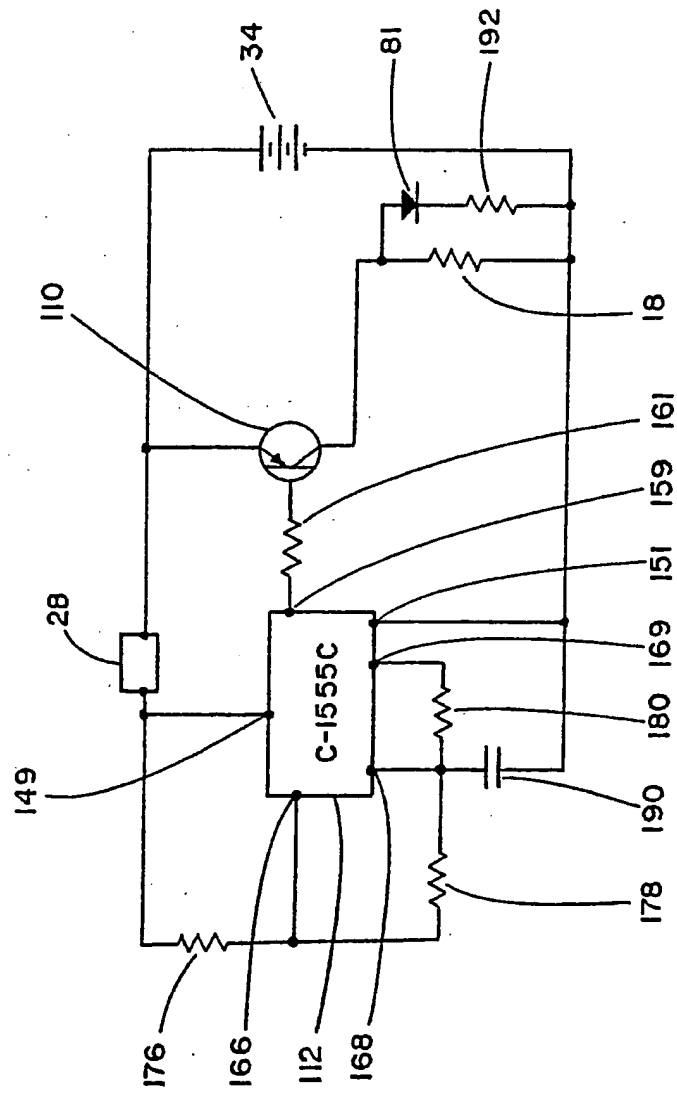
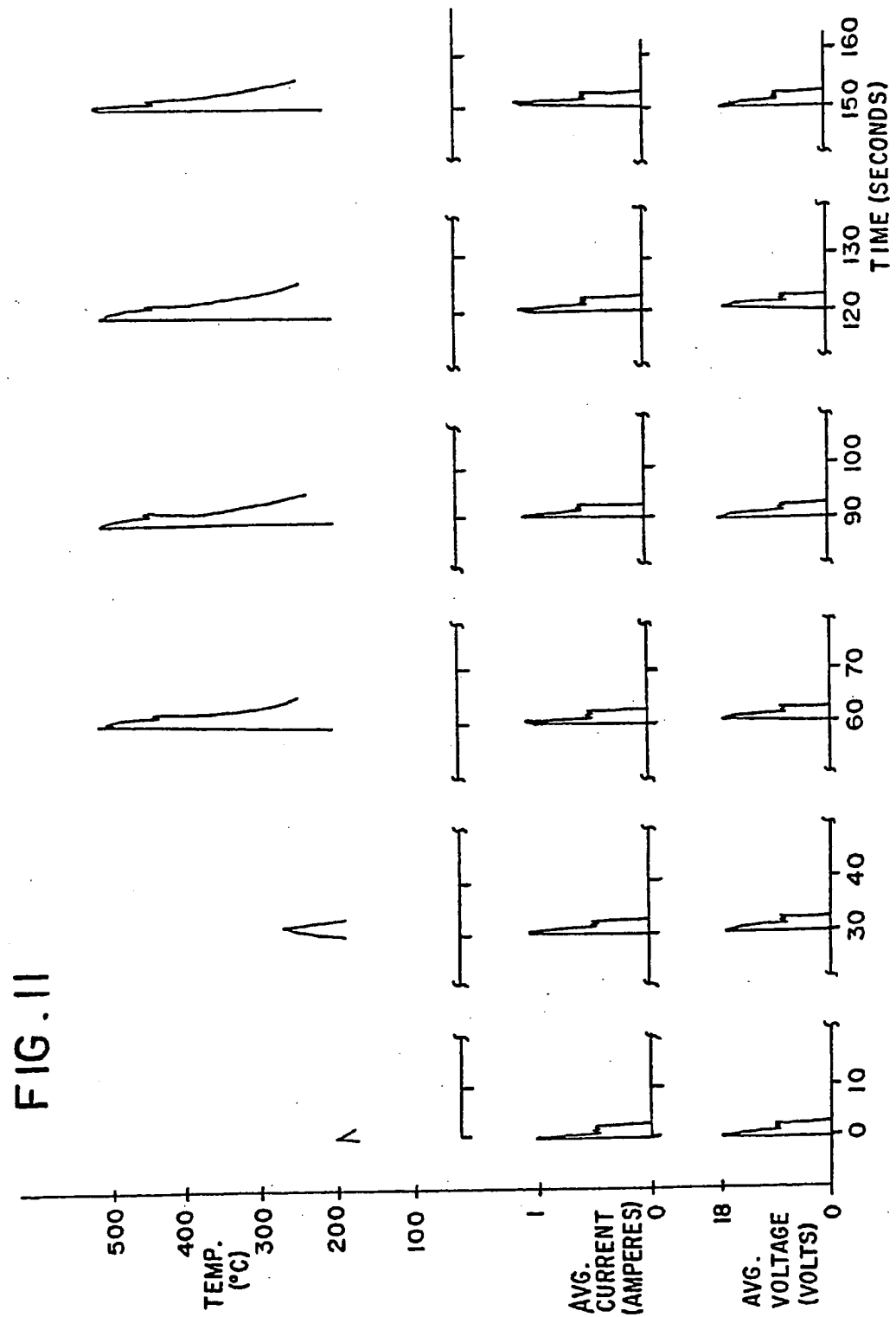


FIG. 10





12

# EUROPEAN PATENT APPLICATION

21 Application number: 89116110.1

51 Int. Cl.<sup>5</sup>: A61M 15/00

22 Date of filing: 31.08.89

20 Priority: 08.09.88 US 241641  
 08.09.88 US 242083

43 Date of publication of application:  
 14.03.90 Bulletin 90/11

64 Designated Contracting States:  
 AT BE CH DE ES FR GB GR IT LI LU NL SE

58 Date of deferred publication of the search report:  
 14.11.90 Bulletin 90/46

71 Applicant: R. J. REYNOLDS TOBACCO  
 COMPANY  
 401 North Main Street  
 Winston-Salem North Carolina 27102(US)

72 Inventor: Brooks, Johnny Lee  
 817 South Hawthorne Road  
 Winston-Salem North Carolina 27103(US)  
 Inventor: Roberts, Donald Leroy  
 631 Chester Road  
 Winston-Salem North Carolina 27104(US)  
 Inventor: Simmons, Jerry Scott  
 140 Prestwick Lane  
 Rural Hall North Carolina 27045(US)  
 Inventor: Morrison, Carl Cristopher  
 3175 Kittering Lane  
 Winston-Salem North Carolina 27105(US)

74 Representative: Hoeger, Stellrecht & Partner  
 Uhlandstrasse 14 c  
 D-7000 Stuttgart 1(DE)

54 Aerosol delivery articles utilizing electrical energy.

57 Aerosol delivery articles (10) employ an electrical resistance heating element (18) and an electrical power source (34) to provide an aerosol. The articles advantageously comprise a disposable portion (12) and a reusable controller (14). The disposable portion (12) normally includes a drug or flavor substance and an air permeable resistance heating

element (18) having a surface area greater than 1 m<sup>2</sup>/g, which usually carries an aerosol forming substance. The reusable controller (14) normally includes a puff-actuated current actuation means (28), a time-based current regulating means (30) to control the temperature of the heating element (18), and a battery power supply (34).

EP 0 358 114 A3

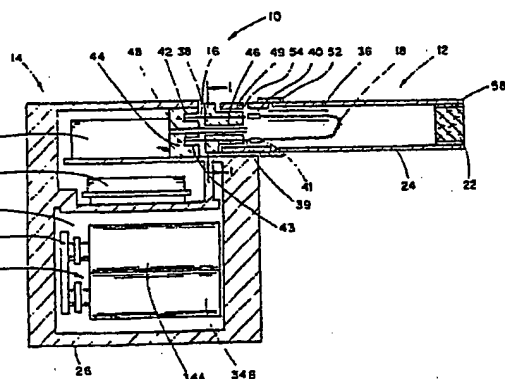


FIG. 1



European Patent  
Office

# EUROPEAN SEARCH REPORT

Application number

EP 89 11 6110

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 4)
D, A	KIRK-OTHMER "Novoloid fibers" Encyclopedia of Chemical Technology, vol. 16, 3rd edition, 1981, pages 135-136  * The whole article *	1, 14	A 61 M 15/00 A 24 F 47/00
D, A	FR-A-2 128 256 (R. RIBOT)  * Page 3, lines 12-17 *	1, 14	
D, A	US-A-4 735 217 (D.L. GERTH)  * Column 5, lines 39-62 *	1, 14	
			TECHNICAL FIELDS SEARCHED (Int. Cl. 4)
			A 61 M A 24 F A 24 D
<del>NO OTHER DOCUMENTS WERE FOUND RELEVANT TO THE INVENTION</del>			
Place of search THE HAGUE		Date of completion of the search 17-05-1990	Examiner GERARD
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons  & : member of the same patent family, corresponding document	



## CLAIMS INCURRING FEES

The present European patent application comprised at the time of filing more than ten claims.

- ☐ All claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for all claims.
- ☐ Only part of the claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims and for those claims for which claims fees have been paid.
- namely claims:
- ☐ No claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims.

## X LACK OF UNITY OF INVENTION

The Search Division considers that the present European patent application does not comply with the requirement of unity of invention and relates to several inventions or groups of inventions.

namely:

1. Claims 1-9,14-21: Aerosol forming substance carried by the heating element in disposable portion.
2. Claims 10-13: Air passageway in disposable portion.
3. Claims 22-26: Switch means in an aerosol delivery article.
4. Claims 27-30: Puff actuated control means in an aerosol delivery article.

- ☐ All further search fees have been paid within the fixed time limit. The present European search report has been drawn up for all claims.
- ☐ Only part of the further search fees have been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the inventions in respect of which search fees have been paid.
- namely claims:
- ☒ None of the further search fees has been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the invention first mentioned in the claims.

namely claims: 1-9,14-21

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**